

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**RESPONSE TO NOTICE TO FILE  
CORRECTED APPLICATION PAPERS--  
FILING DATE GRANTED**

Docket Number:  
**11746/46002**

Application Number  
**10/053,498**

Filing Date  
**January 17, 2002**

Examiner  
**To Be Assigned**

Art Unit  
**1642**

Invention Title  
**HEAT SHOCK PROTEIN-BASED VACCINES  
AND IMMUNOTHERAPIES**

Inventor(s)  
**ROTHMAN, et al.**

Address to:  
Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on

Date: October 30, 2002

Signature: 

Lawrence P. Casson (Reg. No. 46,606)

In response to the Notice to Comply With Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed October 23, 2002, a copy of which is enclosed, applicants submit herewith the following:

Sixty-five (65) pages of Sequence Listings in written form, two (2) identical diskettes containing the same Sequence Listing in Computer Readable format and a Sequence Statement Under 37 C.F.R. §1.821(f) and §1.821(g).

No fee is believed due. However, the Commissioner is hereby authorized to charge any fees or credit any overpayment relating to this communication to the deposit account of Kenyon & Kenyon, Deposit account No. 11-0600.

A duplicate copy of this communication is enclosed for charging purposes.

Dated: October 30, 2002

By: 

Lawrence P. Casson (Reg. No. 46,606)

KENYON & KENYON  
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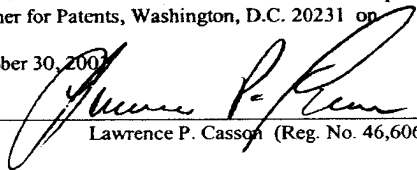
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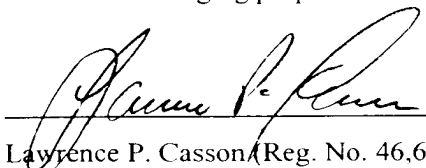
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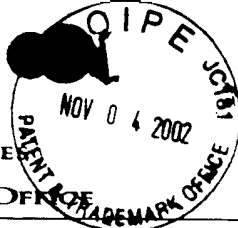
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UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10 053,498	01.17.2002	James E. Rothman	11746-46002

26646  
KENYON & KENYON  
ONE BROADWAY  
NEW YORK, NY 10004

CONFIRMATION NO. 3379

FORMALITIES LETTER



\*OC000000008991315\*

Date Mailed: 10/23/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE